



Image

1644

PATENT APPLICATION  
File No: 99-29C1

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of : James L. Holloway and Si Lok  
Serial No. : 10/085,167  
Group Art Unit : 1644  
Examiner : Not Assigned  
Filed : February 27, 2002  
For : SECRETED PROTEIN ZACRP4

**CERTIFICATE OF MAILING UNDER 37 CFR 1.8(a)**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

I hereby certify that the attached correspondence, comprising:

1. Information Disclosure Statement
2. PTO-1449 Form
3. Copy of WO/99/04000
4. Return Postcard

is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

on September 19, 2003.

Kim M. Goplen  
Kim M. Goplen



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Date Submitted : September 19, 2003

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In compliance with the duty imposed by 37 C.F.R. §1.56, and in accordance with 37 C.F.R. §§1.97 *et seq.*, the materials enclosed herewith are brought to the attention of the Examiner as possibly being of interest in connection with the above-identified patent application. Consideration of each of the documents listed on the attached 1449 form(s) is respectfully requested.

The present application is a continuation of U.S. Patent Application No. 09/606,031, filed June 28, 2002; which claims priority to U.S. Provisional Application No. 60/141,928, filed July 1, 1999.

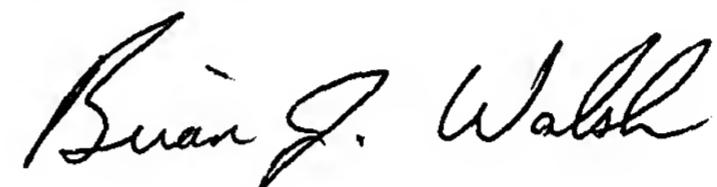
Pursuant to the provisions of M.P.E.P. §609 and in accordance with 37 C.F.R. §1.98(d), Applicants have not included copies of the documents listed on the 1449 forms that were previously cited by or submitted to the Patent Office in a prior application identified herein. As WO99/04000 was not of record in a prior application, a copy is submitted herewith.

Pursuant to the provisions of M.P.E.P. §609, Applicants further request that a copy of the 1449 form(s), marked as being considered and initialed by the Examiner, be returned with the next Official Communication.

It is believed that no fee is due, as this Information Disclosure Statement is filed prior to the receipt of any Action on the merits. However, in the event a fee is due, please charge any fee or credit any overpayment to Account No. 26-0290.

The Examiner is invited to contact the Undersigned at the below-listed telephone number, if there are any questions concerning this paper.

Respectfully submitted,



Brian J. Walsh  
Registration No. 45,543  
(206) 442-6540

## **U.S. PATENT DOCUMENTS**

## **FOREIGN PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	A1	00/58473	10/05/00	WO			
	A2	99/38973	08/05/99	WO, Seq. ID No. 185 Sal-25			
	A3	96/39429	12/12/96	WO			
	A4	99/04000	01/28/99	WO			
	A5	01/00672	01/04/01	WO			

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Patents, etc.)**

	A6	Fujiwara et al., Otsuka GEN Research Institute, GenBank Acc.#D59611, 1995.
	A7	Scherer et al., J. Biol. Chem. 270: 26746-26749, 1995.
	A8	Maeda et al., Biochem. Biophys. Res. Comm. 221: 286-289, 1996.
	A9	Incyte Pharmaceuticals, Inc. INC931619, 1996.
	A10	Incyte Pharmaceuticals, Inc. INC1355124, 1996.
	A11	Incyte Pharmaceuticals, Inc. Library Information, CERVNOT01, LUNGNOT09, 1996.
	A12	Marra et al., WashU-HHMI Mouse EST Project, GenBank Acc. # AA183867, 1996.

**Examiner**

### Date considered

**EXAMINER:** Initial if citation considered, whether or not citation is in conformance with M.P.E.P. 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<b>FORM PTO 1449</b> (REV 2-32)	<b>U. S. DEPARTMENT OF COMMERCE</b> <b>PATENT AND TRADEMARK OFFICE</b>	File No. 99-29C1    Applicant(s): James L. Holloway, Si Lok	Serial No. 10/085,167
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>		Filing Date: February 27, 2002	
(Use several sheets if necessary)			

## **U.S. PATENT DOCUMENTS**

## **FOREIGN PATENT DOCUMENTS**

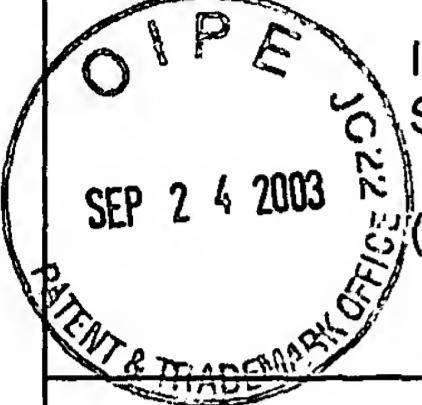
**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Patents, etc.)**

	A13	TIGR Tentative Human Consensus Sequence, THC_D59611, 1996.
	A14	Hillier et al., WashU-Merck EST Project, GenBank Acc.# AA446941, 1997.
	A15	Hillier et al., WashU-Merck EST Project, GenBank Acc.# AA443365, 1997.
	A16	Lee et al., Rat Genome Project, GenBank Acc. # AI228928, 1998.
	A17	Lee et al., Rat Genome Project, GenBak Acc. # AI171006, 1998.
	A18	Hillier et al., WashU-NCI Human EST Project, GenBank Acc. # AI003370, 1998.
	A19	Strausberg, NCI, Cancer Genome Anatomy Project, GenBank Acc. # AI209086, 1998.

## **Examiner**

### Date considered

**EXAMINER:** Initial if citation considered, whether or not citation is in conformance with M.P.E.P. 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO 1449 (REV 2-32)	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	File No. 99-29C1	Serial No. 10/085,167
<p style="text-align: center;">INFORMATION DISCLOSURE STATEMENT BY APPLICANT</p> <p>(Use several sheets if necessary)</p> 		<p>Applicant(s): James L. Holloway, Si Lok</p>	
		Filing Date: February 27, 2002	Group: 1644

### U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

### FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

### OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Patents, etc.)

	A20	Shapiro & Scherer, <u>Current Biol.</u> 8: 335-338, 1998.
	A21	Veerhuis et al., <u>Acta Neuropathol.</u> 96: 287-296, 1998.
	A22	Carninci et al., RIKEN Mouse ESTs, GenBank Acc. # AV040422, 1999.
	A23	Carninci et al., RIKEN Mouse ESTs, GenBank Acc. # AV047204, 1999
	A24	Strausberg, NCI, Cancer Genome Anatomy Project, GenBank Acc. # AI652297, 1999.
	A25	Veerhuis et al., <u>Experimental Neurol.</u> 160: 289-299, 1999.
	A26	Mikayama et al., "Molecular cloning and functional expression of a cDNA encoding glycosylation-inhibiting factor," <u>Proc. Natl. Acad. Sci. USA</u> 90:10056-10060, 1993.
	A27	Voet, et al., <u>Biochemistry</u> 1, 126-234, 19909.
	A28	Attwood, "The Babel of Bioinformatics," <u>Science</u> 290, 5491:471-473, 2000.

Examiner	Date considered

EXAMINER: Initial if citation considered, whether or not citation is in conformance with M.P.E.P. 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.